

# Decoding BCH/RS Codes

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## Decoding Procedure

- The BCH/RS codes decoding has four steps:
  1. Syndrome computation
  2. Solving the key equation for the error-locator polynomial  $\Lambda(x)$
  3. Searching error locations given the  $\Lambda(x)$  polynomial by simply finding the inverse roots
  4. (Only nonbinary codes need this step) Determine the error magnitude at each error location by error-evaluator polynomial  $\Omega(x)$
- The decoding procedure can be performed in time or frequency domains.
- This lecture only considers the decoding procedure in

time domain. The frequency domain decoding can be found in [1, 2].

## Syndrome Computation

- Let  $\alpha, \alpha^2, \dots, \alpha^{2t}$  be the  $2t$  consecutive roots of the generator polynomial for the BCH/RS code, where  $\alpha$  is an element in finite field  $GF(q^m)$  with order  $n$ .
- Let  $y(x)$  be the received vector. Then define the syndrome  $S_j$ ,  $1 \leq j \leq 2t$ , as follows:

$$\begin{aligned} S_j &= y(\alpha^j) = c(\alpha^j) + e(\alpha^j) = e(\alpha^j) \\ &= \sum_{i=0}^{n-1} e_i (\alpha^j)^i \\ &= \sum_{k=1}^v e_{i_k} \alpha^{i_k j}, \end{aligned} \tag{1}$$

where  $n$  is the code length and it is assumed that  $v$  errors occurred in locations corresponding to time indexes  $i_1, i_2, \dots, i_v$ .

- When  $n$  is large one can calculate syndromes by the minimum polynomial for  $\alpha^j$ .
- Let  $\phi_j(x)$  be the minimum polynomial for  $\alpha^j$ . That is,  $\phi_j(\alpha^j) = 0$ . Let  $y(x) = q(x)\phi_j(x) + r_j(x)$ , where  $r_j(x)$  is the remainder and the degree of  $r_j(x)$  is less than the degree of  $\phi_j(x)$ , which is at most  $m$ .
- $S_j = y(\alpha^j) = q(\alpha^j)\phi_j(\alpha^j) + r_j(\alpha^j) = r_j(\alpha^j)$ .
- For ease of notation we reformulate the syndromes as

$$S_j = \sum_{k=1}^v Y_k X_k^j, \text{ for } 1 \leq j \leq 2t,$$

where  $Y_k = e_{i_k}$  and  $X_k = \alpha^{i_k}$ .

- The system of equations for syndromes is

$$S_1 = Y_1 X_1 + Y_2 X_2 + \cdots + Y_v X_v$$

$$S_2 = Y_1 X_1^2 + Y_2 X_2^2 + \cdots + Y_v X_v^2$$

$$S_3 = Y_1 X_1^3 + Y_2 X_2^3 + \cdots + Y_v X_v^3$$

$$\vdots$$

$$S_{2t} = Y_1 X_1^{2t} + Y_2 X_2^{2t} + \cdots + Y_v X_v^{2t}.$$

## Key Equation

- Recall that the error-locator polynomial is

$$\Lambda(x) = (1 - xX_1)(1 - xX_2) \cdots (1 - xX_v) = \Lambda_0 + \sum_{i=1}^v \Lambda_i x^i,$$

where  $\Lambda_0 = 1$ .

- Define the infinite degree syndrome polynomial (though we only know the first  $2t$  coefficients) as

$$\begin{aligned} S(x) &= \sum_{j=0}^{\infty} S_{j+1} x^j \\ &= \sum_{j=0}^{\infty} x^j \left( \sum_{k=1}^v Y_k X_k^{j+1} \right) \end{aligned}$$

$$= \sum_{k=1}^v \frac{Y_k X_k}{1 - x X_k}.$$

- Define the error-evaluator polynomial as

$$\begin{aligned} \Omega(x) &\triangleq \Lambda(x)S(x) \\ &= \sum_{k=1}^v Y_k X_k \prod_{\substack{j=1 \\ j \neq k}}^v (1 - x X_j). \end{aligned}$$

- The degree of the error-evaluator polynomial is less than  $v$ .
- Actually we only know the first  $2t$  terms of  $S(x)$  such that we have



$$\Lambda(x)S(x) \equiv \Omega(x) \pmod{x^{2t}}. \quad (2)$$

- Since the degree of  $\Omega(x)$  is at most  $v - 1$  the terms of  $\Lambda(x)S(x)$  from  $x^v$  through  $x^{2t-1}$  are all zeros.
- Then

$$\sum_{k=0}^v \Lambda_k S_{j-k} = 0, \text{ for } v + 1 \leq j \leq 2t. \quad (3)$$

- The above system of equations is the same as the key equation given previously if we only consider those equations up to  $j = 2v$  (remember that  $v \leq t$ ).
- Thus, (2) is also known as *key equation*.
- Solving key equation to determine the coefficients of the

error-locator polynomial is a hard problem and it will be mentioned later.

- The key equation becomes

$$\Lambda(x)(1 + S(x)) \equiv \Omega(x) \pmod{x^{2t+1}} \quad (4)$$

if we define the infinite degree syndrome equation as

$$S(x) = \sum_{j=1}^{\infty} S_j x^j. \quad (5)$$

## Chien Search

- The next important decoding step is to find the actual error locations  $X_1 = \alpha^{i_1}, X_2 = \alpha^{i_2}, \dots, X_v = \alpha^{i_v}$ .
- Note that  $\Lambda(x)$  has roots  $X_1^{-1} = \alpha^{-i_1}, X_2^{-1} = \alpha^{-i_2}, \dots, X_v^{-1} = \alpha^{-i_v}$ .
- Observe that an error occurs in position  $i$  if and only if  $\Lambda(\alpha^{-i}) = 0$  or

$$\sum_{k=0}^v \Lambda_k \alpha^{-ik} = 0.$$

- Then

$$\Lambda(\alpha^{-(i-1)}) = \sum_{k=0}^v \Lambda_k \alpha^{-ik+k} = \sum_{k=0}^v \left( \Lambda_k \alpha^{-ik} \right) \alpha^k.$$

- This suggests that the potential error locations are tested in succession starting with time index  $n - 1$ .

1. Summing all terms of  $\Lambda(\alpha^{-i})$  at index  $i$  tests to see whether  $\Lambda(\alpha^{-i}) = 0$
2. Then to test at index  $i - 1$  only requires multiplying the  $k$ th term of  $\Lambda(\alpha^{-i})$  by  $\alpha^k$  for all  $k$  and summing all terms again
3. This procedure is repeated until index 0 is reached
4. The initial value for  $k$ th term is  $\Lambda_k \alpha^{-nk} = \Lambda_k$

## Forney's Formula

- For nonbinary BCH or RS codes one still needs to determine the error magnitude for each error location.
- These values,  $Y_1, Y_2, \dots, Y_v$ , can be obtained by utilizing the error-evaluator polynomial. This step is known as *Forney's formula*.
- By substituting  $X_k^{-1} = \alpha^{-i_k}$  into the error-evaluator polynomial we have

$$\Omega(X_k^{-1}) = Y_k X_k \prod_{\substack{j=1 \\ j \neq k}}^v (1 - X_k^{-1} X_j).$$

- By taking the formal derivative of  $\Lambda(x)$  and also

evaluating it at  $x = X_k^{-1}$  we have

$$\begin{aligned}\Lambda'(X_k^{-1}) &= -X_k \prod_{\substack{j=1 \\ j \neq k}}^v (1 - X_k^{-1} X_j) \\ &= \frac{-1}{Y_k} \Omega(X_k^{-1}).\end{aligned}$$

- Thus the error magnitude  $Y_k$  is given by

$$Y_k = -\frac{\Omega(X_k^{-1})}{\Lambda'(X_k^{-1})} = -\frac{\Omega(\alpha^{-i_k})}{\Lambda'(\alpha^{-i_k})}. \quad (6)$$

- Clearly, the above formula can be determined by a search procedure similar to Chien Search.
- Usually,  $\Omega(x)$  can be obtained by solving the key

equation.

## The Euclidean Algorithm [1]

- Euclidean algorithm is a recursive technology to find the greatest common divisor (GCD) of two numbers or two polynomials.
- The Euclidean algorithm is as follows. Let  $a(x)$  and  $b(x)$  represent the two polynomials, which  $\deg[a(x)] \geq \deg[b(x)]$ . Divide  $a(x)$  by  $b(x)$ . If the remainder,  $r(x)$ , is zero, then GCD  $d(x) = b(x)$ . If the remainder is not zero, then replace  $a(x)$  with  $b(x)$ , replace  $b(x)$  with  $r(x)$ , and repeat.
- Considering a simple example, where  $a(x) = x^5 + 1$  and  $b(x) = x^3 + 1$ . Then



$$x^5 + 1 = x^2(x^3 + 1) + (x^2 + 1)$$

$$x^3 + 1 = x(x^2 + 1) + (x + 1)$$

$$x^2 + 1 = (x + 1)(x + 1) + 0$$

- Since  $d(x)$  divides  $x^5 + 1$  and  $x^3 + 1$  it must also divide  $x^2 + 1$ . Since it divides  $x^3 + 1$  and  $x^2 + 1$  it must also divide  $x + 1$ . Consequently,  $x + 1 = d(x)$ .
- The useful aspect of this process is that, at each iteration, a set of polynomials  $f_i(x)$ ,  $g_i(x)$ , and  $r_i(x)$  are generated such that

$$f_i(x)a(x) + g_i(x)b(x) = r_i(x). \quad (7)$$

- A way to obtain  $f_i(x)$  and  $g_i(x)$  is as follows.

- Define  $q_i(x)$  to be the quotient polynomial that is produced by dividing  $r_{i-2}(x)$  by  $r_{i-1}(x)$ . Then, for  $i \geq 1$ ,

$$\begin{aligned} r_i(x) &= r_{i-2}(x) - q_i(x)r_{i-1}(x) \\ f_i(x) &= f_{i-2}(x) - q_i(x)f_{i-1}(x) \\ g_i(x) &= g_{i-2}(x) - q_i(x)g_{i-1}(x), \end{aligned}$$

where the initial values are

$$\begin{aligned} f_{-1}(x) &= g_0(x) = 1 \\ f_0(x) &= g_{-1}(x) = 0 \\ r_{-1}(x) &= a(x) \\ r_0(x) &= b(x). \end{aligned} \tag{8}$$

- There are two useful properties of the algorithm:

1.  $\deg [r_i(x)] < \deg [r_{i-1}(x)];$
2.  $\deg [g_i(x)] + \deg [r_{i-1}(x)] = \deg [a(x)].$

## The Sugiyama Algorithm for Solving Key Equation [1]

- The Sugiyama algorithm utilizes Euclidean algorithm to solve the key equation. Hence, the Sugiyama algorithm is also called Euclidean algorithm.

- (7) can be written as

$$g_i(x)b(x) \equiv r_i(x) \pmod{a(x)}.$$

- Comparing (2) with the above equation, they are equivalent when

$$a(x) = x^{2t}, \quad b(x) = S(x)$$

$$g_i(x) = \Lambda_i(x), \quad r_i(x) = \Omega_i(x).$$

- The Euclidean algorithm produces a sequence of solutions to the key equation.

- When  $v \leq t$  one needs to know which solutions produced is the desired solution. It can be determined as follows.
- By the property of Euclidean algorithm, we have

$$\deg [g_i(x)] + \deg [r_{i-1}(x)] = 2t$$

and

$$\deg [g_i(x)] + \deg [r_i(x)] < 2t.$$

- If  $v \leq t$ , then  
 $\deg [\Omega(x)] < \deg [\Lambda(x)] \leq t$  ( $\deg [r_\ell(x)] < \deg [g_\ell(x)] \leq t$ ).
- There exists only one polynomial  $\Lambda(x)$  with degree no greater than  $t$  which satisfies the key equation.
- If  $\deg [r_{\ell-1}(x)] \geq t$ , then  $\deg [g_\ell(x)] \leq t$ . Since  $\deg [r_\ell(x)] < t$ ,  $\deg [g_{\ell+1}(x)] > t$ .

- The results at the  $\ell$ th step provide the only solution to the key equation that is of interest.

## Summary of the Sugiyama Decoding algorithm

1. Apply Euclidean algorithm to  $a(x) = x^{2t}$  and  $b(x) = S(x)$ .
2. Use the initial conditions of (8).
3. Stop when  $\deg[r_\ell(x)] < t$ .
4. Set  $\Lambda(x) = g_\ell(x)$  and  $\Omega(x) = r_\ell(x)$ .

- Note that the algorithm will give an error-locator polynomial no matter whether  $v \leq t$  or not. Thus, a circuit to check for valid error-locator polynomial must be performed during Chien search.
- One can check whether the number of roots found by

Chien search is the same as the degree of the error-locator polynomial or not. If they are agreed, the valid error-locator polynomial is assumed. Otherwise, too-many-error alert is reported.



## Example

Consider the triple-error-correcting BCH code where generator polynomial has  $\alpha, \alpha^2, \dots, \alpha^6$  as roots and  $\alpha$  is a primitive element of  $GF(2^4)$  with  $\alpha^4 = \alpha + 1$ . Let the received vector  $y(x) = x^7 + x^2$ . We now want to find the error locations of the received vector.

First we need to calculate the syndrome coefficients. By (1), we have

$$S(x) = x^4 + \alpha^3 x^3 + \alpha^9 x + \alpha^{12}.$$

Next we perform Sugiyama algorithm as follows:

$i$	$\Lambda_i(x)(g_i(x))$	$\Omega_i(x)(r_i(x))$	$q_i(x)$
-1	0	$x^6$	—
0	1	$S(x)$	—
1	$x^2 + \alpha^3x + \alpha^6$	$\alpha^{11}x + \alpha^3$	$x^2 + \alpha^3x + \alpha^6$

Thus,  $\Lambda(x) = x^2 + \alpha^3x + \alpha^6$ . By performing Chien search we can find the roots of  $\Lambda(x)$  are  $\alpha^{-7}$  and  $\alpha^{-2}$  and consequently,  $e(x) = x^7 + x^2$ .

## The Berlekamp-Massey Algorithm for Solving Key Equation [3]

- For simplicity, we only consider binary BCH codes.
- The Berlekamp-Massey (BM) algorithm builds the error-locator polynomial by requiring that its coefficients satisfy a set of equations called the Newton's identities rather than (3). The Newton's identities are:

$$S_1 + \Lambda_1 = 0,$$

$$S_2 + \Lambda_1 S_1 + 2\Lambda_2 = 0,$$

$$S_3 + \Lambda_1 S_2 + \Lambda_2 S_1 + 3\Lambda_3 = 0,$$

$$\vdots$$

$$S_v + \Lambda_1 S_{v-1} + \cdots + \Lambda_{v-1} S_1 + v\Lambda_v = 0,$$

and for  $j > v$ :

$$S_j + \Lambda_1 S_{j-1} + \cdots + \Lambda_{v-1} S_{j-v+1} + \Lambda_v S_{j-v} = 0.$$

- It turns out that we only need to look at the first, third, fifth,...of these equations. For notation ease, we number these Newton identities as (noting that  $i\Lambda_i = \Lambda_i$  when  $i$  is odd):

$$\begin{aligned}
1) \quad & S_1 + \Lambda_1 = 0, \\
2) \quad & S_3 + \Lambda_1 S_2 + \Lambda_2 S_1 + \Lambda_3 = 0, \\
3) \quad & S_5 + \Lambda_1 S_4 + \Lambda_2 S_3 + \Lambda_3 S_2 + \Lambda_4 S_1 + \Lambda_5 = 0, \\
& \vdots \\
\mu) \quad & S_{2\mu-1} + \Lambda_1 S_{2\mu-2} + \Lambda_2 S_{2\mu-3} + \cdots + \Lambda_{2\mu-2} S_1 + \Lambda_{2\mu-1} = 0 \\
& \vdots
\end{aligned} \tag{9}$$

- Define a sequence of polynomials  $\Lambda^{(\mu)}(x)$  of degree  $d_\mu$  indexed by  $\mu$  as follows:

$$\Lambda^{(\mu)}(x) = 1 + \Lambda_1^{(\mu)} x + \Lambda_2^{(\mu)} x^2 + \cdots + \Lambda_{d_\mu}^{(\mu)} x^{d_\mu}.$$

- The polynomial  $\Lambda^{(\mu)}(x)$  is calculated to be the minimum degree polynomial whose coefficients satisfy all of the first  $\mu$  numbered equations of (9).
- For each polynomial, its *discrepancy*  $\Delta_\mu$ , which measures how far  $\Lambda^{(\mu)}(x)$  is from satisfying the  $\mu + 1$ st identity, is defined as

$$\Delta_\mu = S_{2\mu+1} + \Lambda_1 S_{2\mu} + \Lambda_2 S_{2\mu-1} + \cdots + \Lambda_{d_\mu} S_{2\mu+1-d_\mu}. \quad (10)$$

- One starts with two initial polynomials,  $\Lambda^{(-1/2)}(x) = 1$  and  $\Lambda^{(0)}(x) = 1$ , and then generate  $\Lambda^{(\mu)}$  iteratively in a manner that depends on the discrepancy.
- The discrepancy  $\Delta_{-1/2} = 1$  by convention and the remaining discrepancies are calculated.

- The Berlekamp-Massey algorithm is as follows:

1.  $\Lambda^{(-1/2)}(x) = 1$ ,  $\Lambda^{(0)}(x) = 1$ , and  $\Delta_{-1/2} = 1$ .
2. Start from  $\mu = 1$  and repeat the next two steps until  $\mu = t$ .
3. Calculate  $\Delta_\mu$  according to (10). If  $\Delta_\mu = 0$ , then

$$\Lambda^{(\mu+1)}(x) = \Lambda^{(\mu)}(x).$$

4. If  $\Delta_\mu \neq 0$ , find a value  $-(1/2) \leq \rho < \mu$  such that  $\Delta_\rho \neq 0$  and  $2\rho - d_\rho$  is as large as possible. Then

$$\Lambda^{(\mu+1)}(x) = \Lambda^{(\mu)}(x) + \Delta_\mu \Delta_\rho^{-1} x^{2(\mu-\rho)} \Lambda^{(\rho)}(x).$$

- The error-locator polynomial is  $\Lambda(x) = \Lambda^{(t)}(x)$ .
- If this polynomial had degree greater than  $t$ , more than  $t$  errors have been made, and uncorrectable alert should be declared.



## Example

Consider the same BCH code and received vector as in the previous example. Then

$$S(x) = x^4 + \alpha^3 x^3 + \alpha^9 x + \alpha^{12}.$$

Next we perform Berlekamp-Massey algorithm as follows:

$\mu$	$\Lambda^{(\mu)}(x)$	$\Delta_\mu$	$d_\mu$	$2\mu - d_\mu$	
-1/2	1	1	0	-1	
0	1	$\alpha^{12}$	0	0	
1	$1 + \alpha^{12}x$	$\alpha^6$	1	1	(take $\rho = -1/2$ )
2	$1 + \alpha^{12}x + \alpha^9x^2$	0	2	2	(take $\rho = 0$ )
3	$1 + \alpha^{12}x + \alpha^9x^2$	-	-	-	

$1 + \alpha^{12}x + \alpha^9x^2$  has the same roots as  $\alpha^6 + \alpha^3x + x^2$  which was found by the Sugiyama algorithm.

## LFSR Interpretation of Berlekamp-Massey Algorithm[4]

- Key equations:

$$S_j = - \sum_{i=1}^v \Lambda_i S_{j-i}, \quad j = v + 1, v + 2, \dots, 2t.$$

- The formula describes the output of a linear feedback shift register (LFSR) with coefficients  $\Lambda_1, \Lambda_2, \dots, \Lambda_v$ .
- The problem to find the error locator polynomial is then equivalent to find the smallest number of coefficients of an LFSR such that it can produce  $S_1, S_2, \dots, S_{2t}$ , i.e., to find a shortest such LFSR.
- In the Berlekamp-Massey algorithm, one builds the LFSR that produces the entire sequence of syndromes by

successively modifying an existing LFSR. This procedure starts with an LFSR that could produce  $S_1$  and end at an LFSR that produces the entire sequence of syndromes.

- Let  $L_k$  denote the length of the LFSR produced at stage  $k$  of the algorithm.
- Let

$$\Lambda^{[k]}(x) = 1 + \Lambda_1^{[k]}x + \dots + \Lambda_{L_k}^{[k]}x^{L_k}$$

be the connection polynomial at stage  $k$ , indicating the connections for the LFSR capable of producing the output sequence  $\{S_1, S_2, \dots, S_k\}$ . That is

$$S_j = - \sum_{i=1}^{L_k} \Lambda_i^{[k]} S_{j-i}, \quad j = L_k + 1, L_k + 2, \dots, k.$$

- Assume that we have a connection polynomial  $\Lambda^{[k-1]}(x)$  of length  $L_{k-1}$  that produces  $\{S_1, S_2, \dots, S_{k-1}\}$  for some  $k - 1 < 2t$ .

- Then  $\hat{S}_k = - \sum_{i=1}^{L_{k-1}} \Lambda_i^{[k-1]} S_{k-i}$ .

- If  $\hat{S}_k$  is equal to  $S_k$ , then there is no need to update the LFSR, so  $\Lambda^{[k]}(x) = \Lambda^{[k-1]}(x)$  and  $L_k = L_{k-1}$ .
- Otherwise, there is some nonzero *discrepancy* associated with  $\Lambda^{[k-1]}(x)$ ,

$$d_k = S_k - \hat{S}_k = S_k + \sum_{i=1}^{L_{k-1}} \Lambda_i^{[k-1]} S_{k-i} = \sum_{i=0}^{L_{k-1}} \Lambda_i^{[k-1]} S_{k-i}.$$

In this case, we update the connection polynomial using

the formula

$$\Lambda^{[k]}(x) = \Lambda^{[k-1]}(x) + Ax^\ell \Lambda^{[m-1]}(x), \quad (11)$$

where  $A$  is some element in the finite field,  $\ell$  is an integer, and  $\Lambda^{[m-1]}(x)$  is one of the prior connection polynomials produced by our processes associated with nonzero discrepancy  $d_m$ .

- The new discrepancy is then

$$d'_k = \sum_{i=0}^{L_k} \Lambda_i^{[k]} S_{k-i} = \sum_{i=0}^{L_{k-1}} \Lambda_i^{[k-1]} S_{k-i} + A \sum_{i=0}^{L_{m-1}} \Lambda_i^{[m-1]} S_{k-i-\ell}.$$

- We can find an  $A$  and an  $\ell$  to make the new discrepancy zero as follows. Let

$$\ell = k - m.$$

Then the second summation gives

$$A \sum_{i=0}^{L_{m-1}} \Lambda_i^{[m-1]} S_{m-i} = Ad_m.$$

If we choose

$$A = -d_m^{-1} d_k,$$

then

$$d'_k = d_k - d_m^{-1} d_k d_m = 0.$$

- We still need to prove that such selection indeed makes a shortest LSFR.

## Characterization of LFSR Length

- Suppose that an LFSR with connection polynomial  $\Lambda^{[k-1]}(x)$  of length  $L_{k-1}$  produces the sequence  $\{S_1, S_2, \dots, S_{k-1}\}$ , but not  $\{S_1, S_2, \dots, S_k\}$ . Then any connection polynomial that produces the latter sequence must have a length  $L_k$  satisfying  $L_k \geq k - L_{k-1}$ .
- This can be proved as follows. We assume that  $L_{k-1} < k - 1$ ; otherwise, it is trivial. We then prove it by contradiction with assuming that  $L_k \leq k - 1 - L_{k-1}$ . We can observe that

$$- \sum_{i=1}^{L_{k-1}} \Lambda_i^{[k-1]} S_{j-i} \begin{cases} = S_j & j = L_{k-1} + 1, L_{k-1} + 2, \dots, k - 1 \\ \neq S_k & j = k \end{cases}$$



and

$$-\sum_{i=1}^{L_k} \Lambda_i^{[k]} S_{j-i} = S_j \quad j = L_k + 1, L_k + 2, \dots, k.$$

In particular, we have

$$S_k = -\sum_{i=1}^{L_k} \Lambda_i^{[k]} S_{k-i}.$$

Since  $k - L_k \geq L_{k-1} + 1$ , all values of  $S_j$  involved in the above summation can be substituted by

$-\sum_{i=1}^{L_{k-1}} \Lambda_i^{[k-1]} S_{j-i}$ . Hence,

$$S_k = -\sum_{i=1}^{L_k} \Lambda_i^{[k]} S_{k-i} = \sum_{i=1}^{L_k} \Lambda_i^{[k]} \sum_{j=1}^{L_{k-1}} \Lambda_j^{[k-1]} S_{k-i-j}.$$

Interchanging the order of summation we have

$$S_k = \sum_{j=1}^{L_{k-1}} \Lambda_j^{[k-1]} \sum_{i=1}^{L_k} \Lambda_i^{[k]} S_{k-i-j}.$$

However, we have

$$S_k \neq - \sum_{i=1}^{L_{k-1}} \Lambda_i^{[k-1]} S_{k-i}.$$

By the assumption,  $L_k + 1 \leq k - L_{k-1}$ ,

$$S_k \neq \sum_{j=1}^{L_{k-1}} \Lambda_j^{[k-1]} \sum_{i=1}^{L_k} \Lambda_i^{[k]} S_{k-i-j},$$

which contradicts to what we just derived.

- Since the shortest LFSR that produces the sequence

$\{S_1, S_2, \dots, S_k\}$  must also produce the first part of that sequence, we must have  $L_k \geq L_{k-1}$ . Thus, we have

$$L_k \geq \max(L_{k-1}, k - L_{k-1}).$$

- In the update procedure, if  $\Lambda^{[k]}(x) \neq \Lambda^{[k-1]}(x)$ , then a new LFSR can be found whose length satisfies  $L_k = \max(L_{k-1}, k - L_{k-1})$ .
- It can be proved by induction on  $k$ . When  $k = 1$  we take  $L_0 = 0$  and  $\Lambda^{[0]}(x) = 1$ . We find that  $d_1 = S_1$ . If  $S_1 = 0$ , then no update is necessary. If  $S_1 \neq 0$ , then we take  $\Lambda^{[m]}(x) = \Lambda^{[0]}(x) = 1$ , so that  $\ell = 1 - 0 = 1$ . Also take  $d_m = 1$ . The updated polynomial is

$$\Lambda^{[1]}(x) = 1 + S_1x,$$

which has degree  $L_1 = \max(L_0, 1 - L_0) = 1$ .

Now let  $\Lambda^{[m-1]}(x)$ ,  $m < k - 1$ , denote the *last* connection polynomial before  $\Lambda^{[k-1]}(x)$  with  $L_{m-1} < L_{k-1}$  that can produce the sequence  $\{S_1, S_2, \dots, S_{m-1}\}$  but not the sequence  $\{S_1, S_2, \dots, S_m\}$ . Then  $L_m = L_{k-1}$ . By the inductive hypothesis,

$$L_m = m - L_{m-1} = L_{k-1}, \text{ or } -m + L_{m-1} = -L_{k-1}.$$

Since  $\ell = k - m$ , we have

$$L_k = \max(L_{k-1}, k - m + L_{m-1}) = \max(L_{k-1}, k - L_{k-1}).$$

- In the update step if  $2L_{k-1} \geq k$ , the connection polynomial is updated, but there is no change in length.

## Welch-Berlekamp Key Equation

- Welch-Berlekamp (WB) key equation was invented in 1983.
- It is no need to calculate syndromes.
- It uses coefficients of a remainder polynomial to represent errors (syndromes).
- There are several methods to solve WB key equation such as Welch-Berlekamp algorithm, Lagrange-Euclidean algorithm, and Modular approach.

## Notations

- The generator polynomial for an  $(n, k)$  RS code can be written as

$$g(x) = \prod_{i=1}^{2t} (x - \alpha^i).$$

- Let  $L_c = \{0, 1, \dots, 2t - 1\}$  be the index set of the check locations. Let  $L_{\alpha^c} = \{\alpha^k, 0 \leq k \leq 2t - 1\}$ .
- Let  $L_m = \{2t, 2t + 1, \dots, n - 1\}$  be the index set of the message locations. Let  $L_{\alpha^m} = \{\alpha^k, 2t \leq k \leq n - 1\}$ .
- Define *remainder polynomial* as

$$r(x) = y(x) \bmod g(x)$$

and

$$r(x) = \sum_{i=0}^{2t-1} r_i x^i.$$

- Let  $E(x)$  be the error pattern. It can be proved that

$$r(x) \equiv E(x) \pmod{g(x)}$$

and

$$r(\alpha^k) = E(\alpha^k) \text{ for } k \in \{1, 2, \dots, 2t\}.$$

## Errors in Message Location

- Assume that  $e \in L_m$  with error value  $Y$ .
- $r(\alpha^k) = E(\alpha^k) = Y(\alpha^k)^e = YX^k$ ,  $k \in \{1, 2, \dots, 2t\}$ ,  
where  $X = \alpha^e$  is the error locator.
- Define  $u(x) = r(x) - Xr(\alpha^{-1}x)$  which has degree less than  $2t$ .
- $u(\alpha^k) = r(\alpha^k) - Xr(\alpha^{-1}\alpha^k) = YX^k - XYX^{k-1} = 0$  for  $k \in \{2, 3, \dots, 2t\}$ .
- $u(x)$  has roots at  $\alpha^2, \alpha^3, \dots, \alpha^{2t}$ , so that  $u(x)$  is divisible by

$$p(x) = \prod_{k=2}^{2t} (x - \alpha^k) = \sum_{i=0}^{2t-1} p_i x^i.$$



- Thus,  $u(x) = ap(x)$ , where  $a \in GF(q^m)$ .
- Equating coefficients between  $u(x)$  and  $p(x)$  we have

$$r_i(1 - X\alpha^{-i}) = ap_i, \quad i = 0, 1, \dots, 2t - 1.$$

That is,

$$r_i(\alpha^i - X) = a\alpha^i p_i, \quad i = 0, 1, \dots, 2t - 1.$$

- Define the error locator polynomial as  
 $W_m(x) = x - X = x - \alpha^e$ .
- Since  $r(\alpha) = E(\alpha) = YX$ ,

$$Y = X^{-1}r(\alpha) = X^{-1} \sum_{i=0}^{2t-1} r_i \alpha^i$$

$$= X^{-1} \sum_{i=0}^{2t-1} \frac{a\alpha^i p_i}{W_m(\alpha^i)} \alpha^i = aX^{-1} \sum_{i=0}^{2t-1} \frac{\alpha^{2i} p_i}{(\alpha^i - X)}.$$

- Define  $f(x) = x^{-1} \sum_{i=0}^{2t-1} \frac{\alpha^{2i} p_i}{(\alpha^i - x)}$  for  $x \in L_{\alpha^m}$ .  $f(x)$  can be pre-computed for all values of  $x \in L_{\alpha^m}$ .
- $Y = af(X)$  and

$$r_i = \frac{Y \alpha^i p_i}{f(X) W_m(\alpha^i)}.$$

- Assume that there are  $v \geq 1$  errors, with error locators  $X_i$  and corresponding error values  $Y_i$  for  $i = 1, 2, \dots, v$ .

- By linearity we have

$$r_k = p_k \alpha^k \sum_{i=1}^v \frac{Y_i}{f(X_i)(\alpha^k - X_i)}, \quad k = 0, 1, \dots, 2t - 1.$$

- Define

$$F(x) = \sum_{i=1}^v \frac{Y_i}{f(X_i)(x - X_i)}$$

having poles at the error locations.

- Let

$$F(x) = \sum_{i=1}^v \frac{Y_i}{f(X_i)(x - X_i)} = \frac{N_m(x)}{W_m(x)},$$

where  $W_m(x) = \prod_{i=1}^v (x - X_i)$  is the error locator polynomial for the errors among the message locations. Note that the error locator polynomial defined here is

different from previously defined by Peterson.

- It is clear that  $\deg(N_m(x)) < \deg(W_m(x))$ .
- We have

$$N_m(\alpha^k) = \frac{r_k}{p_k \alpha^k} W_m(\alpha^k), \quad k \in L_c = \{0, 1, \dots, 2t - 1\}.$$

- $N_m(x)$  and  $W_m(x)$  have the degree constraints  $\deg(N_m(x)) < \deg(W_m(x))$  and  $\deg(W_m(x)) \leq t$ .

## Errors in Check Locations

- For a single error occurring in a check location  $e \in L_c$ ,  
 $r(x) = E(x)$ .
- $u(x) = r(x) - Xr(\alpha^{-1}x) = 0$ .
- We have

$$r_k = \begin{cases} Y & k = e \\ 0 & \text{otherwise.} \end{cases}$$

## WB Key Equation

- Let  $E_m = \{i_1, i_2, \dots, i_{v_l}\} \subset L_m$  denote the error locations among the message locations.
- Let  $E_c = \{i_{v_l+1}, i_{v_l+2}, \dots, i_v\} \subset L_c$  denote the error locations among the check locations.
- The (error location, error value) pairs are  $(X_i, Y_i)$ ,  $i = 1, 2, \dots, v$ .
- By linearity,

$$r_k = p_k \alpha^k \sum_{j=1}^{v_l} \frac{Y_{i_j}}{f(X_{i_j})(\alpha^k - X_{i_j})} + \begin{cases} Y_j & \text{if error locator } X_j \text{ is in check location } k \\ 0 & \text{otherwise.} \end{cases}$$

- We have

$$N_m(\alpha^k) = \frac{r_k}{p_k \alpha^k} W_m(\alpha^k), \quad k \in L_c \setminus E_c.$$

- Let  $W_c(x) = \prod_{i \in E_c} (x - \alpha^i)$  be the error locator polynomial for errors in check locations.
- Let  $N(x) = N_m(x)W_c(x)$  and  $W(x) = W_m(x)W_c(x)$ .
- Since  $N(\alpha^k) = W(\alpha^k) = 0$  for  $k \in E_c$ , we have

$$N(\alpha^k) = \frac{r_k}{p_k \alpha^k} W(\alpha^k), \quad k \in L_c = \{0, 1, \dots, 2t - 1\}. \quad (12)$$

- (12) is the Welch-Berlekamp (WB) key equation subject to the conditions

$$\deg(N(x)) < \deg(W(x)) \text{ and } \deg(W(x)) \leq t.$$

- We write (12) as

$$N(x_i) = W(x_i)y_i, \quad i = 1, 2, \dots, 2t \quad (13)$$

for “points”  $(x_i, y_i) = (\alpha^{i-1}, r_{i-1}/(p_{i-1}\alpha^{i-1}))$ ,  
 $i = 1, 2, \dots, 2t$ .



## Finding the Error Values

- Denote the error values corresponding to an error locator  $X_i$  as  $Y[X_i]$ .
- By definition,

$$\sum_{i=1}^{v_l} \frac{Y[X_i]}{f(X_i)(x - X_i)} = \frac{N_m(x)W_c(x)}{W_m(x)W_c(x)} = \frac{N(x)}{\prod_{i \in E_{cm}} (x - X_i)},$$

where  $E_{cm} = E_c \cup E_m$ .

- Suppose we want determine  $Y[X_k]$  at message location. Multiplying both sides of the above equation by  $W(x) = \prod_{i \in E_{cm}} (x - X_i)$  and evaluate at  $x = X_k$ , we have

$$\frac{Y[X_k] \prod_{\substack{i \neq k \\ i \in E_{cm}}} (X_k - X_i)}{f(X_k)} = N(X_k).$$

- Taking the formal derivative, we obtain

$$W'(x) = \sum_{j \in E_{cm}} \prod_{i \neq j} (x - X_i)$$

and

$$W'(X_k) = \prod_{\substack{i \neq k \\ i \in E_{cm}}} (X_k - X_i).$$

- Thus,

$$Y[X_k] = f(X_k) \frac{N(X_k)}{W'(X_k)}.$$

- When the error is in a check location,  $X_j = \alpha^k$  for  $k \in E_c$ , we have

$$r_k = Y[X_j] + p_k \alpha^k \sum_{i=1}^{v_l} \frac{Y[X_i]}{f(X_i)(\alpha^k - X_i)} = Y[X_j] + p_k X_j \frac{N_m(X_j)}{W_m(X_j)}.$$

Thus,

$$Y[X_j] = r_k - p_k X_j \frac{N_m(X_j)}{W_m(X_j)}.$$

- Both  $N(X_j) = N_m(X_j)W_c(X_j)$  and  $W(X_j) = W_m(X_j)W_c(X_j)$  (Since  $W_c(X_j) = 0$ ) are 0 so a “L’Hopital’s rule” must be used. Since

$$N'(X_j) = N_m(X_j)W_c'(X_j) + N_m'(X_j)W_c(X_j) = N_m(X_j)W_c'(X_j)$$

and

$$W'(X_j) = W_m(X_j)W_c'(X_j) + W_m'(X_j)W_c(X_j) = W_m(X_j)W_c'(X_j),$$

so

$$\frac{N'(X_j)}{W'(X_j)} = \frac{N_m(X_j)}{W_m(X_j)} \neq 0.$$

- Then

$$Y[X_j] = r_k - p_k X_j \frac{N'(X_j)}{W'(X_j)}.$$

## Rational Interpolation Problem

- Given a set of points  $(x_i, y_i)$ ,  $i = 1, 2, \dots, m$  over some field  $\mathbb{F}$ , find polynomials  $N(x)$  and  $W(x)$  with  $\deg(N(x)) < \deg(W(x))$  satisfying

$$N(x_i) = W(x_i)y_i, \quad i = 1, 2, \dots, m. \quad (14)$$

- A solution to the rational interpolation problem provides a pair  $[N(x), W(x)]$  satisfying (14).

## Welch-Berlekamp Algorithm

- We are interested in a solution satisfying  $\deg(N(x)) < \deg(W(x))$  and  $\deg(W(x)) \leq m/2$ .
- The rank of a solution  $[N(x), W(x)]$  is defined as 
$$\text{rank}[N(x), W(x)] = \max\{2 \deg(W(x)), 1 + 2 \deg(N(x))\}.$$
- WB algorithm constructs a solution to the rational interpolation problem of rank  $\leq m$  and show that it is unique.
- Since the solution is unique, by the definition of the rank, the degree of  $N(x)$  is less than the degree of  $W(x)$ .
- Let  $P(x)$  be an interpolation polynomial such that 
$$P(x_i) = y_i, i = 1, 2, \dots, m.$$

- The equation  $N(x_i) = W(x_i)y_i$  is equivalent to

$$N(x) = W(x)P(x) \pmod{(x - x_i)}.$$

- By Chinese remainder theorem we have

$$N(x) = W(x)P(x) \pmod{\Pi(x)}, \quad (15)$$

where  $\Pi(x) = \prod_{i=1}^m (x - x_i)$ .

- Suppose  $[N(x), W(x)]$  is a solution to (14) and that  $N(x)$  and  $W(x)$  shares a common factor  $f(x)$ , such that  $N(x) = n(x)f(x)$  and  $W(x) = w(x)f(x)$ . If  $[n(x), w(x)]$  is also a solution to (14), the solution  $[N(x), W(x)]$  is said to be reducible. Otherwise, it is irreducible.
- There exists at least one irreducible solution to (15) with  $\text{rank} \leq m$ .

- **Proof:** Let  $S = \{[N(x), W(x)] \mid \text{rank}(N(x), W(x)) \leq m\}$  be the set of polynomial meeting the rank specification. For  $[N(x), W(x)] \in S$  and  $[M(x), V(x)] \in S$  and  $f$  a scalar value, define

$$\begin{aligned} [N(x), W(x)] + [M(x), V(x)] &= [N(x) + M(x), W(x) + V(x)] \\ f[N(x), W(x)] &= [fN(x), fW(x)]. \end{aligned}$$

Then  $S$  is a module over  $\mathbb{F}[x]$ .

- A basis for the  $N(x)$  component is

$$\{1, x, \dots, x^{\lfloor (m-1)/2 \rfloor}\} \quad (1 + \lfloor (m-1)/2 \rfloor \text{ dimensions}).$$

- A basis for the  $W(x)$  component is

$$\{1, x, \dots, x^{\lfloor m/2 \rfloor}\} \quad (1 + \lfloor m/2 \rfloor \text{ dimensions}).$$

- So the dimension of the Cartesian product is

$$1 + \lfloor (m-1)/2 \rfloor + 1 + \lfloor m/2 \rfloor = m + 1.$$



- Let

$$N(x) - W(x)P(x) = Q(x)\Pi(x) + R(x).$$

- Define the mapping

$$E : S \longrightarrow \{h \in \mathbb{F}[x] \mid \deg(h(x)) < m\} \quad (16)$$

by  $E([N(x), W(x)]) = R(x)$ .

- The dimension of the range of  $E$  is  $m$ .
- $E$  is a linear mapping from a space of dimension  $m + 1$  to a space of dimension  $m$ , so the dimension of its kernel is  $> 0$ . ■
- We say that  $[N(x), W(x)]$  satisfy the interpolation( $k$ ) problem if

$$N(x_i) = W(x_i)y_i, \quad i = 1, 2, \dots, k.$$

- We also express the interpolation( $k$ ) problem as

$$N(x) = W(x)P_k(x) \pmod{\Pi_k(x)},$$

where  $\Pi_k(x) = \prod_{i=1}^k (x - x_i)$  and  $P_k(x)$  is a polynomial that interpolates the first  $k$  points,  $P_k(x_i) = y_i$ ,  $i = 1, 2, \dots, k$ .

- The WB- algorithm finds a sequence of solution  $[N(x), W(x)]$  of minimum rank satisfying the interpolation( $k$ ) problem, for  $k = 1, 2, \dots, m$ .
- If  $[N(x), W(x)]$  is an irreducible solution to the interpolation( $k$ ) problem and  $[M(x), V(x)]$  is another solution such that  $\text{rank}[N(x), W(x)] + \text{rank}[M(x), V(x)] \leq 2k$ , then  $[M(x), V(x)]$  can be reduced to  $[N(x), W(x)]$ .
- **Proof:** By assumption, there exist two polynomials  $Q_1(x)$  and  $Q_2(x)$  such that

$$\begin{aligned} N(x) - W(x)P_k(x) &= Q_1(x)\Pi_k(x) \\ M(x) - V(x)P_k(x) &= Q_2(x)\Pi_k(x). \end{aligned} \quad (17)$$

Recall that  $N(x_i) = y_i W(x_i)$  and  $M(x_i) = y_i V(x_i)$  for

$i = 1, \dots, k$ . Hence

$$N(x_i)V(x_i) = M(x_i)W(x_i), \quad i = 1, \dots, k$$

which implies

$$\Pi_k(x) | (N(x)V(x) - M(x)W(x)). \quad (18)$$

- From the definition of the rank we have

$$\begin{aligned} \deg(N(x)V(x)) &= \deg(N(x)) + \deg(V(x)) \\ &\leq \frac{\text{rank}[N(x), W(x)] - 1}{2} + \frac{\text{rank}[M(x), V(x)]}{2} < k \end{aligned}$$

and

$$\begin{aligned} \deg(M(x)W(x)) &= \deg(M(x)) + \deg(W(x)) \\ &\leq \frac{\text{rank}[M(x), V(x)] - 1}{2} + \frac{\text{rank}[N(x), W(x)]}{2} < k. \end{aligned}$$

- Then  $\deg(N(x)V(x) - M(x)W(x)) < k$ . From (18), we have

$$N(x)V(x) - M(x)W(x) = 0. \quad (19)$$

- Let  $d(x) = \text{GCD}(W(x), V(x))$ . Then there exist two polynomials which are relatively prime such that

$$W(x) = d(x)w(x), \quad V(x) = d(x)v(x). \quad (20)$$

- Substituting (20) into (19), we have

$$N(x)d(x)v(x) = M(x)d(x)w(x)$$

and

$$w(x)|N(x), \quad v(x)|M(x).$$

- Let  $\frac{N(x)}{w(x)} = \frac{M(x)}{v(x)} = h(x)$ , so

$$N(x) = h(x)w(x) \text{ and } M(x) = h(x)v(x). \quad (21)$$

- Substituting (20) and (21) into (17), we have

$$h(x)w(x) - d(x)w(x)P_k(x) = Q_1(x)\Pi_k(x)$$

and

$$h(x)v(x) - d(x)v(x)P_k(x) = Q_2(x)\Pi_k(x).$$

- Since  $\text{GCD}(w(x), v(x)) = 1$ , there exists two polynomials  $s(x), t(x)$  such that  $s(x)w(x) + t(x)v(x) = 1$ .
- Thus, we obtain

$$h(x) - d(x)P_k(x) = (s(x)Q_1(x) + t(x)Q_2(x))\Pi_k(x).$$

The above equation shows that  $[h(x), d(x)]$  is also a solution. From (20) and (21), both  $[N(x), W(x)]$  and  $[M(x), V(x)]$  can be reduced to  $[h(x), d(x)]$ . Since  $[N(x), W(x)]$  is irreducible, we have  $\deg(w(x)) = 0$ . ■

- If  $[N(x), W(x)]$  and  $[M(x), V(x)]$  are two solutions of

interpolation( $k$ ) such that

$$\text{rank}[N(x), W(x)] + \text{rank}[M(x), V(x)] = 2k + 1,$$

then both of them are irreducible solutions, and

$$N(x)V(x) - M(x)W(x) = f\Pi_k(x) \text{ for some scalar } f.$$

- **Proof:** Assume that the first conclusion is not correct. Then there exist two irreducible solutions,  $[n(x), w(x)]$  and  $[m(x), v(x)]$ , such that

$$N(x) = f(x)n(x), \quad W(x) = f(x)w(x),$$

$$M(x) = g(x)m(x), \quad V(x) = g(x)v(x),$$

and  $\deg(f(x)) + \deg(g(x)) > 0$ . Then

$$\begin{aligned} & \text{rank}[n(x), w(x)] + \text{rank}[m(x), v(x)] \\ &= 2k + 1 - 2(\deg(f(x)) + \deg(g(x))) < 2k. \end{aligned}$$

By the previous result,  $[n(x), w(x)]$  and  $[m(x), v(x)]$  at most

differ by a constant common factor. Hence,  
 $\text{rank}[n(x), w(x)] + \text{rank}[m(x), v(x)]$  is even. Contradiction.

- Next we prove the second conclusion. It is easy to see that one of  $\text{rank}[N(x), W(x)]$  and  $\text{rank}[M(x), V(x)]$  is even and the other is odd. There are two cases:

**Case 1:  $\text{rank}[N(x), W(x)]$  is odd.** We have

$$\begin{aligned} 2k + 1 &= \text{rank}[N(x), W(x)] + \text{rank}[M(x), V(x)] \\ &= (1 + 2 \deg(N(x)) + 2 \deg(V(x))) \\ &> 2 \deg(W(x)) + (1 + 2 \deg(M(x))). \end{aligned}$$

Thus,  $\deg(N(x)V(x)) = k$  and  $\deg(W(x)M(x)) < k$ .

**Case 2:  $\text{rank}[N(x), W(x)]$  is even.** We have

$$\begin{aligned} 2k + 1 &= \text{rank}[N(x), W(x)] + \text{rank}[M(x), V(x)] \\ &= 2 \deg(W(x)) + (1 + 2 \deg(M(x))) \end{aligned}$$

$$> (1 + 2 \deg(N(x))) + 2 \deg(V(x)).$$

Thus,  $\deg(N(x)V(x)) < k$  and  $\deg(W(x)M(x)) = k$ .

In either case,

$$\deg(N(x)V(x) - M(x)W(x)) = k.$$

We have proved that  $\Pi_k(x) | N(x)V(x) - M(x)W(x)$  and then,  $N(x)V(x) - M(x)W(x) = f\Pi_k(x)$ . ■

- Let  $[N(x), W(x)]$  and  $[M(x), V(x)]$  be two solutions of interpolation( $k$ ) such that

$$\text{rank}[N(x), W(x)] + \text{rank}[M(x), V(x)] = 2k + 1$$

and  $N(x)V(x) - M(x)W(x) = f\Pi_k(x)$  for some scalar  $f$ . Then  $[N(x), W(x)]$  and  $[M(x), V(x)]$  are complementary.

- If  $[N(x), W(x)]$  is an irreducible solution to the interpolation( $k$ ) problem and  $[M(x), V(x)]$  is one of its



complements, then for any  $a, b \in \mathbb{F}$  with  $b \neq 0$ ,  $[bM(x) - aN(x), bV(x) - aW(x)]$  is also one of its complements.

- **Proof:** It is easy to show that

$[bM(x) - aN(x), bV(x) - aW(x)]$  is also a solution. Since  $[M(x), V(x)]$  cannot be reduced to  $[N(x), W(x)]$ ,  $[bM(x) - aN(x), bV(x) - aW(x)]$  is also cannot be reduced to  $[N(x), W(x)]$ . Hence,

$$\text{rank}[N(x), W(x)] + \text{rank}[bM(x) - aN(x), bV(x) - aW(x)] = 2k + 1,$$

and  $[bM(x) - aN(x), bV(x) - aW(x)]$  is a complement of  $[N(x), W(x)]$ . ■

- Suppose that  $[N(x), W(x)]$  and  $[M(x), V(x)]$  are two complementary solutions of interpolation( $k$ ) problem. Suppose also that  $[N(x), W(x)]$  is the solution of lower rank. Let  $b = N(x_{k+1}) - y_{k+1}W(x_{k+1})$  and  $a = M(x_{k+1}) - y_{k+1}V(x_{k+1})$ .

If  $b = 0$ , then  $[N(x), W(x)]$  and  $[(x - x_{k+1})M(x), (x - x_{k+1})V(x)]$  are two complementary solutions of the interpolation( $k + 1$ ) problem and  $[N(x), W(x)]$  is the solution with lower rank. If  $b \neq 0$ , then

$$[(x - x_{k+1})N(x), (x - x_{k+1})W(x)]$$

and

$$[bM(x) - aN(x), bV(x) - aW(x)]$$

are two complementary solutions. The solution with lower rank is the solution to the interpolation( $k + 1$ ) problem.

- **Proof:** If  $b = 0$ , it is clear that  $[N(x), W(x)]$  is a solution to the interpolation( $k + 1$ ) problem. Also  $M(x) \equiv V(x)P_k(x) \pmod{\Pi_k(x)}$  such that we have

$$(x - x_{k+1})M(x) \equiv (x - x_{k+1})V(x)P_{k+1}(x) \pmod{\Pi_{k+1}(x)}.$$

Since

$\text{rank}[(x - x_{k+1})M(x), (x - x_{k+1})V(x)] = \text{rank}[M(x), V(x)] + 2$   
we have

$$\begin{aligned} & \text{rank}[N(x), W(x)] + \text{rank}[(x - x_{k+1})M(x), (x - x_{k+1})V(x)] \\ &= 2k + 1 + 2 = 2(k + 1) + 1. \end{aligned}$$

Now consider  $b \neq 0$ . Since  $[N(x), W(x)]$  satisfies

$$N(x) \equiv W(x)P_{k+1}(x) \pmod{\Pi_k(x)}$$

it follows that

$$(x - x_{k+1})N(x) \equiv (x - x_{k+1})W(x)P_{k+1}(x) \pmod{\Pi_{k+1}(x)}.$$

Thus,  $[(x - x_{k+1})N(x), (x - x_{k+1})W(x)]$  is a solution to the interpolation( $k + 1$ ) problem.

- From previous result,  $[bM(x) - aN(x), bV(x) - aW(x)]$  is a complementary solution of  $[N(x), W(x)]$  to interpolation( $k$ ) problem. To show that  $[bM(x) - aN(x), bV(x) - aW(x)]$  is

also a solution at the point  $(x_{k+1}, y_{k+1})$ , substituting  $a$  and  $b$  into the following to show that equality holds:

$$bM(x_{k+1}) - aN(x_{k+1}) = (bV(x_{k+1}) - aW(x_{k+1})) y_{k+1}.$$

It is clear that

$$\begin{aligned} & \text{rank}[(x - x_{k+1})N(x), (x - x_{k+1})W(x)] \\ + & \text{rank}[bM(x) - aN(x), bV(x) - aW(x)] = 2(k + 1) + 1. \end{aligned}$$



- The initial condition for WB algorithm is

$$N(x) = V(x) = 0, W(x) = M(x) = 1.$$

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**Algorithm 1** Welch-Belekamp Algorithm

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1: Input:  $(x_i, y_i)$ ,  $i = 1, \dots, m$   
2:  $N^{(0)}(x) := V^{(0)}(x) := 0$ ;  $M^{(0)}(x) := W^{(0)}(x) := 1$ ;  
3: **for**  $k = 0, 1, 2, \dots, m - 1$  **do**  
4:      $b_k := N^{(k)}(x_{k+1}) - y_{k+1}W^{(k)}(x_{k+1})$ ;  
5:      $a_k := M^{(k)}(x_{k+1}) - y_{k+1}V^{(k)}(x_{k+1})$ ;  
6:     **if**  $b_k = 0$  **then**  
7:          $N^{(k+1)}(x) := N^{(k)}(x)$ ;  $W^{(k+1)}(x) := W^{(k)}(x)$ ;  
8:          $M^{(k+1)}(x) := (x - x_{k+1})M^{(k)}(x)$ ;  
9:          $V^{(k+1)}(x) := (x - x_{k+1})V^{(k)}(x)$ ;  
10:     **else**  
11:          $M^{(k+1)}(x) := (x - x_{k+1})N^{(k)}(x)$ ;  
12:          $V^{(k+1)}(x) := (x - x_{k+1})W^{(k)}(x)$ ;  
13:          $N^{(k+1)}(x) := b_k M^{(k)}(x) - a_k N^{(k)}(x)$ ;  
14:          $W^{(k+1)}(x) := b_k V^{(k)}(x) - a_k W^{(k)}(x)$ ;  
15:         **if**  $\text{rank}[N^{(k+1)}(x), W^{(k+1)}(x)] > \text{rank}[M^{(k+1)}(x), V^{(k+1)}(x)]$  **then**  
16:              $\text{swap}[N^{(k+1)}(x), W^{(k+1)}(x)] \leftrightarrow [M^{(k+1)}(x), V^{(k+1)}(x)]$   
17:         **end if**  
18:     **end if**  
19: **end for**

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## References

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